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PATENT

Attorney Docket No.: NUASI-00102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Carl Schoeneberger et al.

Serial No.: 10/632,649

Filed: July 31, 2003

For: **HIGH AVAILABILITY VOIP
SUBSYSTEM**

) Group Art Unit: 2642

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No.: 28960

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 5-7-04

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

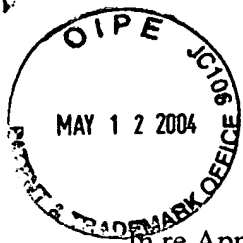
Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 5-7-04 By: John D. Haverstock



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) Examiner:

) **CERTIFICATION FOR**
) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT UNDER 37**
) **CFR § 1.97(e)**

) 162 North Wolfe Road
) Sunnyvale, CA 94086

) (408) 530-9700

) Customer No. 28960

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within a Official Action mailed on April 7, 2004. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)**



PATENT
Attorney Docket No.: NUASI-00102

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 5-7-04

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.5(a))

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HAVERSTOCK & OWENS LLP.

Date: 5-7-04 By: John D. Rouse



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) Examiner:

) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**

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) Sunnyvale, CA 94086
) (408) 530-9700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,459,780;
- U.S. Patent No. 6,611,590 B1;
- U.S. Patent No. 6,577,726 B1;
- U.S. Patent No. 6,678,718 B1; and
- U.S. Publication No. 2002/0071541 A1.



PATENT
Attorney Docket No.: NUASI-00102

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 5-7-04

By: _____

Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 5-7-04 By:

FORM PTO-449
(Modified)

MAY 12 2004

U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: NUASI-00102

Serial No.: 10/632,649

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicants: Carl Schoeneberger et al.

Filing Date: 07/31/03

Group Art Unit: 2642

U.S. PATENT DOCUMENTS

| Examiner Initials | | Serial / Patent Number | Issue Date | Applicant / Patentee | Class | Subclass | Filing Date |
|-------------------|----|------------------------|------------|----------------------|-------|----------|-------------|
| | AA | 6,219,648 B1 | 04/17/01 | Jones et al. | 705 | 8 | 03/31/98 |
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FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

| | | Document Number | Publication Date | Country / Patent Office | Class | Subclass | Translation | |
|--|----|-----------------|------------------|-------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AK | | | | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

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Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.